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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/760,404	01/12/2001	William T. Daniell	10004554-1	1751

7590

08/17/2004

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P.O. Box 272400  
Fort Collins, CO 80527-2400

EXAMINER
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VAUGHAN, MICHAEL R

ART UNIT	PAPER NUMBER
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2131

DATE MAILED: 08/17/2004

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Please find below and/or attached an Office communication concerning this application or proceeding.

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**Office Action Summary**

Application No.

09/760,404

Applicant(s)

DANIELL ET AL.

Examiner

Michael R Vaughan

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 12 January 2001.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-14 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-14 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 12 January 2001 is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                        | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)               | Paper No(s)/Mail Date. _____  |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date <u>2</u> .   | 6) <input type="checkbox"/> Other: _____                                    |

## **DETAILED ACTION**

Claims 1-14 have been examined and are pending.

### ***Information Disclosure Statement***

An initialed and dated copy of Applicant's IDS form 1449, Paper No. 2, is attached to the instant Office action.

### ***Claim Rejections - 35 USC ' 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

Claims 1-14 are rejected under 35 U.S.C. 102(e) as being anticipated by Hayes et al, hereinafter Hayes (USP 6,339,826).

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As per claims 1, 8, and 9, Hayes teaches: memory (Fig 2, element 212); and a security application configured to lock down resources of said computer system (col. 19, lines 50-55) by modifying a machine state of said computer system in response to a request for activating a first security profile, said security application configured to store data indicative of said machine state in said memory (col. 17, lines 60-64) in response to said request for activating said first security profile (col. 7, lines 62-63), said security application configured to modify said machine state (col. 20, lines 1-5) in response to a request for activating a second security profile (col. 12, lines 34-46), said security application configured to retrieve said data in response to a request for recovering said first security profile and to modify said machine state based on said retrieved data (col. 7, lines 67—col. 8, lines 5).

As per claims 2 and 10, Hayes teaches said security application includes default data defining default levels of security (col. 7, line 16), wherein said security application enables a user to select one of said default levels of security (col. 7, lines 16-19), and wherein said security application is configured to modify said machine state in response to said request for activating said first security profile based on said selected default level of security (col. 17, lines 60-64).

As per claims 3 and 11, Hayes teaches said security application defines a plurality of rules for locking down said computer system (col. 7, lines 17-18), wherein said security application configured to enable ones of said rules based

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on which of said default levels is selected by said user (col. 7, lines 54-55), and wherein said security application is further configured to cause said computer system to enforce each enabled rule within said plurality of rules by modifying said machine state in response to said request for activating said first security profile (col. 19, lines 50-55).

As per claims 4 and 12, Hayes teaches said security application enables said user to change which of said rules are enabled (col. 7, lines 16-17).

As per claims 5 and 13, Hayes teaches memory (Fig 2, element 212); and a security application defining a plurality of rules (col. 7, lines 18-19), said security application configured to enable a user to select a set of said rules for enforcement by said computer system (col. 7, lines 17), said security application configured to lock down said computer system by causing said computer system to enforce said selected set of rules in response to an activation request (col. 19, lines 50-55), said security application further configured to store data identifying said selected set in response to said activation request (col. 17, lines 60-64), said security application configured to change which of said plurality of rules are enforced by said computer system based on inputs to said computer system (col. 12, lines 33-40), said security application configured to retrieve said data in response to a user request and to automatically identify said set of rules based on said retrieved data (col. 17, lines 65-66), said security application further

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configured to cause said computer system to enforce said identified rules in response to said user request (col. 19, line 53).

As per claims 6 and 14, Hayes teaches said security application is further configured to define multiple sets of default data (col. 7, lines 15-16), each of said sets of default data identifying different ones of said rules as being enabled for enforcement (col. 7, lines 17-18), said security application configured to enable said user to select one of said sets of default data and to determine which of said rules are selected for inclusion into said selected set of rules based on which of said rules are indicated as enabled (col. 7, lines 54-65).

As per claim 7, Hayes teaches said security application enables said user to change which of said rules are indicated as being enabled (col. 7, lines 16-17).

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael R Vaughan whose telephone number is 703-305-0354. The examiner can normally be reached on M-F 7:30-4:00.


If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz Sheikh can be reached on 703-305-9648. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Michael R Vaughan  
Examiner  
Art Unit 2131

MV

  
AYAZ SHEIKH  
SUPERVISORY PATENT EXAMINER  
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